

# Administrative Actions Taken by OCI

In many of the proceedings listed below, the Respondent denied the allegations but consented to the actions. Detailed information regarding the proceedings is available from the OCI Legal Unit. Copies of administrative actions started in the year 1964 can be viewed and printed from OCI's Web site at <http://oci.wi.gov/admact/admact.htm>. The following are actions for July 2009 through December 2009.

## Actions against agents:

Guadalupe R. Alba

11775 W. Chapman Ave., Greenfield,  
WI 53228

Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. July 2009

Claude W. Baker

3361 Kimball Rd. Apt. 1, Rockford,  
IL 61114

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose an administrative action taken by the state of Wisconsin on an insurance license application. August 2009

Kate J. Barbieri

2340 N. 80th St., Wauwatosa, WI 53213

Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Brian E. Bates

4920 N. 24th Pl., Milwaukee, WI 53209

Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. November 2009

Chelsie A. Bellisle

633 Pine St., Pulaski, WI 54162

Has had her application for an insurance license denied. This action was based on allegations of recent convictions for driving after revocation which shows evidence of untrustworthiness and may be substantially related to insurance marketing type conduct. November 2009

Susan K. Bird-Winbun

417 Lincoln Ave., Baraboo, WI 53913

Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI, failing to pay delinquent taxes, and a criminal conviction which may be substantially related to insurance marketing type conduct. August 2009

Joshua J. Bishop

305 Lynn Ave. Apt. A108, Baraboo,  
WI 53913

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

Timothy G. Blechl

233 N. Thompson Dr. Apt. 8, Madison,  
WI 53714

Has had his insurance license suspended beginning November 13, 2009. This action was based on allegations of failing to pay past child support due. November 2009

Beverly D. Bowen

335 E. Fieldstone Cir. #4, Oak Creek,  
WI 53154

Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Ryan P. Brennan

2578 N. 58th St., Milwaukee, WI 53210

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Richard W. Brewster

857 Columbus St., Sun Prairie, WI 53590

Has had his insurance license revoked. This action was based on allegations of

failing to pay Wisconsin delinquent taxes due. July 2009

Amy Jo Brown

527 Roosevelt Rd., Kohler, WI 53044

Agreed to surrender her Wisconsin insurance intermediary licenses. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a previous criminal conviction on an insurance license application. August 2009

Phillip E. Bryson

11695 Center Hill Rd., Darlington,  
WI 53530

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Bradley J. Burlage

3728 Coronado St., Kieler, WI 53812

Has had his license denied for 31 days. This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. September 2009

Randy L. Caldwell

12690 249th Ave., Trevor, WI 53179

Has had his application for an insurance license denied. This action was based on allegations of a criminal conviction which may be substantially related to insurance marketing type conduct. August 2009

Linden D. Carson

3957 N. 51st Blvd., Milwaukee, WI 53216

Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. November 2009

Eric D. Ciepluch  
1242 Woodview Dr., Waukesha,  
WI 53189  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. October 2009

Stockley S. Clarke  
8853A N. Swan Rd., Milwaukee,  
WI 53224  
Has had his application for an insurance  
license denied. This action was based  
on allegations of a criminal conviction  
which may be substantially related to  
insurance marketing type conduct.  
July 2009

Paul L. Clements  
60778 Utopia Ln., Prairie du Chien,  
WI 53821  
Was ordered to cease and desist from  
performing or offering to perform any  
service as an insurance intermediary,  
individually or in affiliation with any  
person engaged in the business of  
insurance in Wisconsin. This action was  
based on allegations of engaging in  
prohibited practices during license  
revocation in violation of s. 628.345,  
Wis. Stat. August 2009

Steve J. Coffee  
5656 Cty. Rd. Q, Colgate, WI 53017  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

David A. Colbert  
17479 Havenwood Rd., Sparta, WI 54656  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Thomas E. Coleman  
29340 Rocky Rd., Burlington, WI 53105  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. July 2009

Jeffrey P. Couper  
1312 E. Grand Boulevard Cir.,  
Iron Mountain, MI 49801  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and  
failing to disclose administrative actions  
taken by the states of Michigan,  
Wisconsin, and FINRA on an insurance  
license application. August 2009

Felice M. Crayton  
2106C Mac Arthur Rd. Apt. 22,  
Waukesha, WI 53188  
Has had her insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. October 2009

Bonnie L. Daniels  
N8530 Navarino Rd., Black Creek,  
WI 54106  
Has had her license denied for 31 days.  
This action was based on allegations of  
failing to disclose a previous criminal  
conviction on an insurance license  
application. September 2009

Graham E. Davis  
806 W. Willow St., Chippewa Falls,  
WI 54729  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and a  
criminal conviction which may be  
substantially related to insurance  
marketing type conduct. August 2009

Jefferson E. Davis  
W169 N8630 Sheridan Dr.,  
Menomonee Falls, WI 53051  
Was ordered to pay a forfeiture of  
\$750.00. This action was based on  
allegations of failing to report 2004  
criminal charges and 2005 convictions  
to the Commissioner as required by  
s. Ins 6.61 (16), Wis. Adm. Code.  
October 2009

Douglas E. Deback  
4904 S. 20th St., Milwaukee, WI 53221  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and a  
criminal conviction which may be  
substantially related to insurance  
marketing type conduct. July 2009

Michael B. Dermody  
222 Golden Lake Ln., Oconomowoc,  
WI 53066  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

William B. Dewitt  
524 McDowell St., Delavan, WI 53115  
Has had his application for an insurance  
license denied for 31 days. This action  
was based on allegations of failing to  
disclose a previous administrative  
action taken by the Financial Industry  
Regulatory Authority on an insurance  
license application. November 2009

Blake C. Dinger  
1510 Martin Rd., Bloomer, WI 54724  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Christopher J. Dollar  
3000 15th Ave., South Milwaukee,  
WI 53172  
Has had his insurance license  
application denied for 20 days. This  
action was based on allegations of  
failing to disclose previous criminal  
charges and a conviction on an  
insurance license application. October  
2009

Ronald R. Dowling  
9334 Chestnut Tr., Tinley Park, IL 60477  
Has had his license denied for 31 days.  
This action was based on allegations of  
failing to disclose administrative actions  
taken by the states of Massachusetts  
and South Dakota on an insurance  
license application. September 2009

Nick W. Duerst  
N5494 Gutzmer Rd., Monticello,  
WI 53570  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. October 2009

Eric S. Durrant  
E3328 Cty. Rd. K, Waupaca, WI 54981  
Has had his application for an insurance  
license denied. This action was based  
on allegations of a criminal conviction  
which may be substantially related to  
insurance marketing type conduct.  
July 2009

Christopher V. Eardley  
3101 SW 34th #905-463, Ocala, FL 34474  
Has had his application for an insurance  
license denied for 31 days. This action  
was based on allegations of failing to  
report an administrative action taken by  
the state of Florida, Office of Financial  
Regulation. December 2009

Brian D. Eck  
10706 Minnetonka Blvd., Hopkins,  
MN 55305  
Agreed to pay a forfeiture of \$500.00, to  
respond promptly to OCI, and to not act  
as an insurance agent unless properly  
licensed. These actions were based on  
allegations of failing to respond  
promptly to inquiries from OCI and of  
acting as an agent when not licensed.  
August 2009

Okoi O. Eduson  
6487 N. 42nd St. Milwaukee, WI 53209  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. July 2009

Okoi O. Eduson  
6487 N. 42nd St., Milwaukee, WI 53209  
Agreed to promptly remit premium  
payments to the Wisconsin Insurance  
Plan. This action was based on  
allegations of failing to promptly remit  
premium to the Wisconsin Insurance  
Plan. October 2009

Erik W. Femrite  
2413 Fountain Ave. Apt. 21, Appleton,  
WI 54915  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to disclose  
pending criminal charges on an  
insurance license application and a  
criminal conviction which may be  
substantially related to insurance  
marketing type conduct. September  
2009

David L. Ferraro  
S89 W34547 Eagle Ter., Eagle, WI 53119  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Robert H. Fletcher  
1070 Luanna Dr., Rockford, IL 61103  
Has had his license denied for 31 days.  
This action was based on allegations of  
failing to disclose an administrative  
action taken by the state of Colorado  
on an insurance license application.  
September 2009

Carol L. Flood  
W11423 Catherine Ct., Waupun,  
WI 53963  
Has had her application for an insurance  
license denied for 31 days. This action  
was based on allegations of failing to  
disclose a previous criminal conviction  
on an insurance license application.  
November 2009

Ronald K. Fosu  
2039 Shopiere Rd., Beloit, WI 53511  
Has had his application for an insurance  
license denied. This action was based  
on allegations of a criminal conviction  
which may be substantially related to  
insurance marketing type conduct.  
November 2009

Todd T. Fox  
23030 State Rd. 57, Kiel, WI 53042  
Agreed to pay a forfeiture of \$1,000.00  
and agreed to not solicit, negotiate,  
place, or advise others about insurance  
needs and coverages for lines of  
authority for which he is not licensed.

This action was based on allegations of  
acting as an unlicensed agent.  
September 2009

Steven R. Freund  
1307 Lawton Ct., Waunakee, WI 53597  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. August 2009

Scott A. Froemming  
573 E. Red Pine Cir., Dousman, WI 53118  
Has had his application for an insurance  
license denied for 31 days. This action  
was based on allegations of failing to  
disclose previous criminal convictions  
on insurance license applications.  
December 2009

Lee A. Gaither  
1300 16th St., Racine, WI 53403  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and  
failing to disclose a previous criminal  
conviction on an insurance license  
application. August 2009

Troy N. Girolamo  
N51012 Hutchins Ln., Eleva, WI 54738  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and a  
criminal conviction which may be  
substantially related to insurance  
marketing type conduct. November 2009

Maurice D. Gray  
4720 Regent St. Apt. 96A, Madison,  
WI 53705  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. October 2009

Christopher J. Grochola  
4312 Hearthridge Dr., Janesville,  
WI 53546  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. August 2009

Duane A. Gurtner  
107 Vadnais Ln., Balsam Lake, WI 54810  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Samuel R. Hamilton  
1215 Buena Vista Dr., Sun Prairie, WI 53590  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

David W. Hampton  
905 Daffodil St., West Salem, WI 54669  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

Glenn R. Harris  
626 Middle Rincon Rd., Santa Rosa, CA 95409  
Agreed to surrender his insurance agent's license and not to reapply for any Wisconsin insurance licenses for a period of five years. These actions were based on allegations of failing to report an administrative action taken by another agency. September 2009

Lawrence D. Hathaway  
2811 Gladstone Ave., Gladstone, MI 49837  
Has had his license denied for 31 days.  
This action was based on allegations of failing to disclose a previous administrative action taken by the state of Wisconsin on an insurance license application. September 2009

Steven T. Hill  
S76 W13426 Roger Dr., Muskego, WI 53150  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a previous criminal conviction on an insurance license application. August 2009

Kelly J. Jackson  
5414 N. Santa Monica Blvd., Milwaukee, WI 53217  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

Annette Jackson-Thomas  
6336 N. 107th St., Milwaukee, WI 53225  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Jeffrey Jacobs  
908 Erie Ave., Sheboygan, WI 53081  
Has had his license denied for 31 days.  
This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. August 2009

Brian A. Jeske  
129 S. Birch Ave., Gillette, WI 54124  
Has had his license denied for 31 days.  
This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. September 2009

Predrag Jevtic  
2925 S. 92nd St., West Allis, WI 53227  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Sheila L. Jones  
12109 W. Bobolink Ave., Milwaukee, WI 53225  
Was ordered to pay a forfeiture of \$15,000.00 and has had her insurance license revoked. These actions were based on allegations of submitting falsified and inaccurate insurance applications and failing to repay unearned commissions. July 2009

Gregory J. Kapp  
1400 Celebration Ave. Unit 308, Kissimmee, FL 34747  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to

disclose a previous administrative action taken by the state of Wisconsin on an insurance license application. November 2009

Tracy A. Kasten  
3825 Capitol Ct., Appleton, WI 54913  
Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a previous criminal charge on an insurance license application. November 2009

Duane M. Keith  
132 Dell Range Blvd., Cheyenne, WY 82009  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Marlene M. Kernan  
1310 Utica St., P.O. Box 750, Oriskany, NY 13424  
Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI, a criminal arrest and conviction which may be substantially related to insurance marketing type conduct, and a previous administrative action taken by another state. November 2009

Richard J. Klinkner  
1618 29th St., Two Rivers, WI 54241  
Had his insurance license revoked, was ordered to pay forfeitures of \$85,000.00, and was ordered to make consumer restitution of \$44,729.00. These actions were based on allegations of making false and misleading statements to consumers regarding the purchase of insurance products and making unsuitable recommendations to consumers to purchase annuities and life insurance products. August 2009

Kenneth A. Klubnik  
5705 Abbyshire Dr., Hudson, OH 44236  
Has had his application for a license denied for 31 days. This action was based on allegations of failing to

disclose an administrative action taken by the state of Georgia on an insurance license application. December 2009

Chad R. Koehler  
504 S. Alexander St., Merrill, WI 54452  
Has had his insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. August 2009

Lee A. Koeller  
4598 Landing Rd., Rhineland, WI 54501  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Kent R. Korlesky  
2517 Dewey St., Manitowoc, WI 54220  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Susan D. Kraimer  
4555 S. Kansas Ave., St. Francis, WI 53235  
Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI regarding bankruptcy or judgment and proof of prelicensing education, as well as a criminal conviction which may be substantially related to insurance marketing type conduct and an administrative action taken by another state. July 2009

Satma W. Lal  
4202 Bass Rd., Stockton, CA 95219  
Has had her application for an insurance license denied. This action was based on allegations of failing to disclose administrative actions taken by the states of Kansas, New York, Virginia, and Wisconsin on an insurance license application and failing to respond promptly to inquiries from OCI. August 2009

Daniel D. Lamarch  
2553 Wilder Ct., Green Bay, WI 54311  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. July 2009

Sandy M. Lapoint  
5422 35th Ave., Kenosha, WI 53144  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Craig E. J. Lasley  
340 S. Prentice St., Clayton, WI 54004  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Thomas J. Lawton  
2718 Northside Dr., Lantana, FL 33462  
Agreed to pay a forfeiture of \$500.00, to provide requested information, and to respond promptly to all inquiries from the Commissioner. This action was based on allegations of failing to respond promptly to OCI inquiries. October 2009

Jeffrey L. Lemley  
10145 Plum Tree Cir. Apt. 202, Hales Corners, WI 53130  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Eric J. Linden  
6319 Century Ave. Apt. 6, Middleton, WI 53562  
Has had his application for an insurance license denied. This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application, criminal convictions which may be substantially related to insurance marketing type conduct, failing to pay amounts due in previous criminal cases, and providing misleading statements in a criminal investigation. July 2009

Talisa L. Linder-Hayes  
3720 N. Second St., Milwaukee, WI 53212  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. July 2009

Richard W. Mace  
4031 S. Cty. Rd. E, South Range, WI 54874  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a previous criminal conviction on an insurance license application. August 2009

Tim R. MacNerland  
135 S. Marquette St., Madison, WI 53704  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Gregory G. Maines  
13 S. Syverson Dr., Westby, WI 54667  
Has had his application for an insurance license denied. This action was based on allegations of failing to disclose previous criminal convictions on an insurance license application and criminal convictions which may be substantially related to insurance marketing type conduct. Agent Maines did not appear at the hearing or prehearing. October 2009

Matthew A. Malone  
2201 Snowbird Ave., Wausau, WI 54401  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Art Mattox  
217 Christie Ln., Twin Lakes, WI 53181  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Michael J. McCluskey  
7100 Applewood Dr., Madison, WI 53719  
Has had his insurance license application denied for 31 days. This action was based on allegations of failing to disclose an administrative action taken by the state of Kentucky on an insurance license application. October 2009

James M. Mertens  
308 Star Magnolia Dr., Morrisville, NC 27560  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose administrative actions taken by the states of California, Georgia, and Wisconsin on an insurance license application. October 2009

James Methu  
3070 N. 55th St., Milwaukee, WI 53210  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to written inquiries from OCI regarding an incomplete application. November 2009

Stephen R. Millin  
1302 E. Fairway Dr., Ladysmith, WI 54848  
Has had his application for an insurance license denied. This action was based on allegations of a criminal conviction which may be substantially related to insurance marketing type conduct. September 2009

Alma C. Minley  
1800 W. Cherry St., Milwaukee, WI 53205  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. July 2009

Alma C. Minley  
1800 W. Cherry St., Milwaukee, WI 53205  
Agreed to pay a forfeiture of \$250.00, to report any criminal charges or convictions, and to truthfully answer any questions regarding administrative actions, charges, or convictions. These actions were based on allegations of failing to disclose a previous

administrative action taken by the state of Wisconsin and failing to disclose a previous criminal conviction on an insurance license application. September 2009

Neil I. Mishleau  
126 Eagle St., Seymour, WI 54165  
Has had his application for an insurance license denied. This action was based on allegations of failing to disclose administrative actions taken by the Wisconsin Department of Financial Institutions, Division of Securities, on insurance license applications in August 2006 and April 2009. The bases of those administrative actions provided evidence of untrustworthiness. September 2009

Mai L. Moua  
5906 N. 38th St., Milwaukee, WI 53209  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Anne M. Mullen  
31905 Cty. Rd. 115, Underwood, MN 56586  
Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and a previous insurance company termination for misconduct that showed evidence of untrustworthiness. August 2009

Charles R. Murphy  
6 Center St., Sea Bright, NJ 07760  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI regarding bankruptcy or judgment. July 2009

Alphonse J. Nault  
W68 N709 Evergreen Blvd., Cedarburg, WI 53012  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

Dale I. Nealey  
320 Race St., Dover, OH 44622  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and administrative actions taken by the states of Colorado, New Jersey, and Oregon. July 2009

William C. Neubert  
2825 N. University Dr. Apt. 105, Waukesha, WI 53188  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Margie E. Neville  
7314 Old Plank Rd., Fredericksburg, VA 22407  
Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction which may be substantially related to insurance marketing type conduct. July 2009

Timothy W. Newkirk  
1307 Western Ave., Green Bay, WI 54303  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI, failing to disclose previous criminal convictions and administrative actions on an insurance license application, and failing to disclose administrative actions taken by other states within 30 days of the actions. July 2009

Luke J. Nolan  
6124 Meadowcrest Dr., Dallas, TX 75230  
Was ordered to pay a forfeiture of \$500.00 and to respond promptly to all inquiries from OCI, and had his application for an insurance license denied for 31 days. These actions were based on allegations of failing to respond promptly to inquiries from OCI regarding an administrative action taken by another state. July 2009

Jared M. Obendorfer  
2565 N. Fratney St. Apt. A, Milwaukee,  
WI 53212  
Had his insurance license suspended  
beginning on September 21, 2009. This  
action was based on allegations of  
failing to pay past child support due.  
September 2009

Amoda R. Olorunjoje  
7303 W. Marine Dr., Milwaukee,  
WI 53223  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI regarding  
proof of eligibility to work in the United  
States and failing to accurately complete  
an insurance licensing application.  
August 2009

Henry A. Otten  
1135 Briar Cliff Tr., Brookfield, WI 53045  
Has had his application for an insurance  
license denied for 31 days. This action  
was based on allegations of failing to  
disclose an administrative action taken  
by the State of Wisconsin, Department  
of Financial Institutions, Division of  
Securities, on an insurance license  
application. December 2009

Leo F. Owen, Jr.  
2414 Dorret Rd. Apt. A, Eau Claire,  
WI 54703  
Was ordered to pay a forfeiture of  
\$1,000.00 and has had his insurance  
license revoked. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and  
failing to disclose criminal arrests and  
convictions on an insurance license  
application. Agent Owen did not appear  
at the hearing or prehearing. October  
2009

Eric H. Padags  
12838 Stanwick Cir., Clearwater, FL 33764  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and an  
administrative action taken by another  
state that showed evidence of  
untrustworthiness or incompetence.  
August 2009

Melissa S. Palzewicz  
1100 N. Spring St., Beaver Dam,  
WI 53916  
Has had her insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Michael G. Panter  
4230 Kauth Dr., Wisconsin Rapids,  
WI 54494  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Lee Michael Parrish  
2497 91st Ave., Osceola, WI 54020  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and a  
criminal conviction which may be  
substantially related to insurance  
marketing type conduct. August 2009

Jason L. Peterson  
928 James St., Eau Claire, WI 54703  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. July 2009

Sone Phrakousonh  
W171 N4892 Greenview Ave.,  
Menomonee Falls, WI 53051  
Agreed to pay a forfeiture of \$10,000.00,  
to facilitate consumer restitution, to  
cease selling indexed annuities until  
November 1, 2012, to sell insurance  
products only under supervision until  
November 1, 2012, and to attend  
additional continuing education courses  
regarding the sale of annuities to senior  
citizens. This action was based on  
allegations of failing to properly  
consider suitability when recommending  
annuity products and failing to properly  
consider suitability in selling life  
insurance or annuities. November 2009

Stephan Pickman  
43 Hill St., Floral Park, NY 11001  
Has had his application for an insurance  
license denied. This action was based

on allegations of administrative actions  
taken by the states of California,  
Nebraska, and Utah. July 2009

Suzanne M. Pilger  
1020 18th St. S., Wisconsin Rapids,  
WI 54494  
Has had her insurance license revoked.  
This action was based on allegations  
of failing to pay Wisconsin delinquent  
taxes due. December 2009

Mary S. Pillock  
611 NW 69th Ave., Margate, FL 33063  
Has had her application for an  
insurance license denied. This action  
was based on allegations of failing to  
respond promptly to inquiries from OCI  
and failing to pay past due child  
support. August 2009

Randy P. Polakoski  
114 Ray St., Fond du Lac, WI 54935  
Has had his insurance license revoked.  
This action was based on allegations  
of failing to pay Wisconsin delinquent  
taxes due. August 2009

Shawn C. Polzin  
2339 CTH N, Brussels, WI 54204  
Has had his insurance license revoked.  
This action was based on allegations  
of failing to pay Wisconsin delinquent  
taxes due. December 2009

Larry F. Propson  
336 Steven St., Green Bay, WI 54303  
Has had his application for an insurance  
license denied for 31 days. This action  
was based on allegations of failing to  
disclose a previous criminal conviction  
on an insurance license application.  
November 2009

Kenneth J. Putz  
312 Scott Rd., Mount Horeb, WI 53572  
Has had his insurance license denied  
for 31 days. This action was based on  
allegations of failing to disclose  
previous criminal convictions on an  
insurance license application.  
September 2009

Alice E. Reed  
3309 Grandview Blvd., Madison,  
WI 53713  
Has had her insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Jeremy D. Ricker  
204 W. Main St. Apt. 304, Whitewater,  
WI 53190  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to disclose a  
previous criminal conviction on an  
insurance license application and a  
criminal conviction which may be  
substantially related to insurance  
marketing type conduct. September  
2009

Michael J. Rieth  
688 Yorkshire Rd., Neenah, WI 54956  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. August 2009

Erick L. Rolfson  
N27 W27250 Woodland Dr., Pewaukee,  
WI 53072  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

John J. Roscioli  
11545 14th Ave., Pleasant Prairie,  
WI 53158  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Chad T. Rumfelt  
644 S. Lakeshore Blvd., Lake Wales,  
FL 33853  
Has had his license denied for 31 days.  
This action was based on allegations of  
failing to report administrative actions  
taken by the states of Indiana and South  
Dakota while previously licensed in  
Wisconsin. July 2009

Steven A. Sack  
595 Rosewood Ave., Winnetka, IL 60093  
Has had his application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and  
failing to disclose an administrative  
action taken by the state of Indiana on  
an insurance license application.  
August 2009

Larry V. Sain  
7900 Black River Rd., Verona, WI 53593  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. October 2009

John A. Sams  
3385 Horseshoe Spring Rd., Wausau,  
WI 54403  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. July 2009

James C. Schaitel  
P.O. Box 3388, Evergreen, CO 80437  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

William H. Scherrer  
650 Meadow Ln., Burlington, WI 53105  
Has had his insurance license  
application denied for 31 days. This  
action was based on allegations of  
failing to disclose administrative actions  
taken by the state of Wisconsin and  
FINRA on an insurance license  
application, failing to report an  
administrative action taken by FINRA  
while previously licensed, and failing to  
disclose appointment listing  
terminations for cause while previously  
licensed. September 2009

Adam W. Schmitz  
S12176 Williams Rd., Spring Green,  
WI 53588  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. August 2009

Therese A. Schneider  
100 Thackery Dr., Oshkosh, WI 54904  
Has had her application for an insurance  
license denied. This action was based  
on allegations of an administrative  
action taken by the State of Wisconsin,  
Department of Regulation and Licensing,  
Nursing Examining Board. November  
2009

Robb A. Schuler  
417 Pleasure St., Chetek, WI 54728  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Henry V. Schultz  
1150 Jonathan Ln. Apt. 16, Neenah,  
WI 54956  
Was ordered to pay a forfeiture of  
\$500.00 and has had his insurance  
license revoked. These actions were  
based on allegations of failing to  
disclose an administrative action and  
failing to respond promptly to inquiries  
from OCI. August 2009

Lori L. Schwandt  
3292 Leonard Point Ln., Oshkosh,  
WI 54904  
Has had her application for an insurance  
license denied for 31 days. This action  
was based on allegations of failing to  
disclose a previous administrative  
action taken by the Financial Industry  
Regulatory Authority on an insurance  
license application. November 2009

Julia E. Scott  
517 King Arthur Dr., Franklin, IN 46131  
Has had her application for an insurance  
license denied. This action was based  
on allegations of failing to respond  
promptly to inquiries from OCI and  
failing to pay a delinquent tax obligation.  
August 2009

Anthony G. Sexe  
988 Drover Tr., Hudson, WI 54016  
Has had his insurance license revoked.  
This action was based on allegations of  
failing to pay Wisconsin delinquent  
taxes due. December 2009

Nicolle R. Sievers  
892 Dutchman Dr. Apt. 2,  
Chippewa Falls, WI 54729

Has had her application for an insurance license denied. This action was based on allegations of failing to disclose previous criminal charges or convictions on an insurance license application and criminal convictions which may be substantially related to insurance marketing type conduct. September 2009

Daniel J. Simon  
7527 Harold Ave., Minneapolis,  
MN 55427

Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous administrative action taken by the state of Minnesota on an insurance license application. November 2009

Steven S. Simonovich  
12322 87th Ave., Pleasant Prairie,  
WI 53158

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative actions taken by the Wisconsin Department of Regulation and Licensing, Real Estate Examining Board, on an insurance license application. September 2009

Randall S. Skalet  
621 S. First St., Mt. Horeb, WI 53572  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

Randall S. Skalet  
621 S. First St., Mt. Horeb, WI 53572  
Agreed to pay a forfeiture of \$500.00, to more fully assess the suitability of insurance sales, and to promptly notify OCI of address changes. These actions were based on allegations of failing to properly assess the suitability of some insurance policies sold before 2007 and failing to notify OCI of address changes. September 2009

Brian J. Smith  
3310 Packer Dr. Apt. 204, Racine,  
WI 53404

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction which may be substantially related to insurance marketing type conduct. August 2009

Torrance T. Snow  
5835 Gemini Dr., Madison, WI 53718

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI, failing to disclose a previous criminal conviction on an insurance license application, and failing to pay past due child support. August 2009

Debra L. Socha  
337 Holy Hill Rd. Apt. 101, Twin Lakes,  
WI 53181

Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a criminal conviction on an insurance license application. August 2009

Christopher J. Solberg  
N7399 Spring St., Fond du Lac, WI 54935  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

Tanvir S. Sra  
2644 Granite Rd., Madison, WI 53711  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI regarding proof of eligibility to work in the United States. August 2009

Paul G. Steinmetz  
2815 N. 83rd St., Milwaukee, WI 53222  
Agreed to pay a forfeiture of \$500.00 and agreed to use only licensed insurance agents to advise, solicit, and negotiate insurance. These actions were

based on allegations of utilizing the services of an unlicensed agent and providing business cards to an unlicensed agent. September 2009

George H. Stevens  
1815 80th St., Kenosha, WI 53143  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Jacqueline Strong  
6060 W. Calumet Rd. Apt. 202,  
Milwaukee, WI 53223  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. July 2009

Huey P. Sullivan  
701 N. Broadway Lot 32, Menomonie,  
WI 54751  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to pay past due child support. August 2009

Patrick S. Sweeney  
5763 Golden Ter., Fitchburg, WI 53711  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. July 2009

Patrick S. Sweeney  
5763 Golden Ter., Madison, WI 53711  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous administrative action taken by the state of Wisconsin on an insurance license application. December 2009

Michael T. Teigen  
N5608 Albany N., Mondovi, WI 54755  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous Wisconsin administrative action on an insurance license application. December 2009

Daniel R. Thrun  
1400 Archibald St., Northfield, MN 55057  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Elaine M. Vanderslice  
N4509 Kolba Ave., Mauston, WI 53948  
Has had her license denied for 31 days.  
This action was based on allegations of failing to disclose administrative action taken by the State of Wisconsin, Department of Regulation and Licensing, Real Estate Examining Board, on an insurance license application. July 2009

John C. Vandreel  
3318 Spur Ln., Green Bay, WI 54313  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI, failing to disclose a previous criminal conviction on an insurance license application, and failing to disclose an administrative action taken by the state of Wisconsin on an insurance license application. August 2009

Daniel R. VanLinn  
W1872 Conservation Rd., Brillion, WI 54110  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. November 2009

Eric J. Wales  
9902 Soaring Sky Run, Verona, WI 53593  
Has had his insurance license application denied for 31 days. This action was based on allegations of failing to disclose a previous administrative action taken by the state of Wisconsin on an insurance license application. September 2009

Wendy C. Warren  
28085 Hildare Rd., Pueblo, CO 81006  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Rodney J. Weber  
5959 N. Lake Dr., West Bend, WI 53095  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous administrative action taken by the state of Wisconsin on an insurance license application. December 2009

Holly J. Weitkumat  
5859 Fleming Ct., Greendale, WI 53129  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Lindsay Wendt-Sheikh  
6157 First St., Hartford, WI 53027  
Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose a criminal conviction on an insurance license application. August 2009

Dana R. Wickersham  
2638 11th St., Kenosha, WI 53140  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2009

Patricia A. Wilk  
421 E. Maple St., Woodville, WI 54028  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Ernest D. Winters  
3410 High Rd., Middleton, WI 53562  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Mark Wise  
16062 Colleton Ct., Carmel, IN 46033  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous administrative action taken by the state of Oklahoma

on an insurance license application. December 2009

Howard W. Witt, Jr.  
831 Liliana Ter., Oregon, WI 53575  
Has had his application for an insurance license denied for 31 days. This action was based on allegations of failing to disclose a previous criminal conviction on an insurance license application. November 2009

David J. Woggon  
W7705 Van Dunk Pl., Holmen, WI 54636  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

John B. Yancey  
2312 Shades Crest Rd., Birmingham, AL 35216  
Agreed to pay a forfeiture of \$250.00 and to report all administrative actions to OCI as required by s. Ins 6.61, Wis. Adm. Code. These actions were based on allegations of failing to report an administrative action taken by the state of Alabama on an insurance license application. August 2009

Jonathan T. Yeadon  
2604 S. La Prairie Town Hall Rd., Janesville, WI 53546  
Has had his insurance license suspended beginning November 13, 2009. This action was based on allegations of failing to pay past child support due. November 2009

Kerry A. Zach  
S72 W17511 Schubring Dr., Muskego, WI 53150  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. July 2009

Gordon J. Zastrow  
509 Wild Oak Dr., Manitowoc, WI 54220  
Has had his insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Leann M. Ziehr  
206 Mulberry Dr., Waldo, WI 53093  
Has had her insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Josh A. Zoerner  
1867 15th Ave., Kenosha, WI 53140  
Agreed to pay a forfeiture of \$7,500.00, to cease selling indexed annuities until November 1, 2012, and to attend additional continuing education classes regarding the sale of annuities to senior citizens. This action was based on allegations of failing to properly consider suitability in selling annuity policies. November 2009

### **Actions against companies:**

Alliance National Ins. Agency, Inc.  
37 E. Grand Ave. 2nd Fl., Fox Lake, IL 60020  
Has had its insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. December 2009

Baraboo Mutual Insurance Company  
509 South Blvd., Baraboo, WI 53913  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order related to potential conflicts of interest. August 2009

Brunswick Product Protection Corp.  
1 N. Field Ct., Lake Forest, IL 60045  
Was ordered to pay a forfeiture of \$5,000.00 and to file financial statements when due. This action was based on allegations of failing to file required financial statements by the due date. October 2009

Butler and Company, Inc.  
3144 County Rd. S, Little Suamico, WI 54141  
Has had its insurance license revoked.  
This action was based on allegations of failing to pay Wisconsin delinquent taxes due. October 2009

Catholic Family Life Insurance  
1572 E. Capitol Dr., Milwaukee, WI 53211  
Was ordered to pay a forfeiture of \$1,000.00. This action was based on allegations of failing to comply with a previous examination order. September 2009

Citizens Insurance Company of America  
645 W. Grand River, Howell, MI 48843  
Was ordered to pay a forfeiture of \$500.00, to provide requested information within ten days, to promptly reply in writing, and to provide all information requested in response to all inquiries from the Commissioner. This action was based on allegations of failing to respond promptly to inquiries from OCI. November 2009

CMG Mortgage Assurance Company  
22 Fourth St. 13th Fl., San Francisco, CA 94103  
Was ordered to pay a forfeiture of \$1,000.00. This action was based on allegations of failing to comply with previous examination orders related to executive compensation and suspense premium reporting. August 2009

CMG Mortgage Insurance Company  
22 Fourth St. 13th Fl., San Francisco, CA 94103  
Was ordered to pay a forfeiture of \$1,000.00. This action was based on allegations of failing to comply with a previous examination order related to executive compensation and advance premium reporting. August 2009

CMG Mortgage Reinsurance Company  
22 Fourth St. 13th Fl., San Francisco, CA 94103  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order related to executive compensation reporting. August 2009

Dean Health Insurance, Inc.  
1277 Deming Way, Madison, WI 53717  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a

previous examination order related to executive compensation reporting. August 2009

Dean Health Plan, Inc.  
1277 Deming Way, Madison, WI 53717  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order related to executive compensation reporting. August 2009

Evanston Insurance Company  
Ten Parkway N., Deerfield IL 60015  
Agreed to pay a forfeiture of \$100,000.00, to not issue any new policy offering mortgage guaranty insurance as defined in s. Ins 6.75 (2) (i), Wis. Adm. Code, and to provide a copy of the stipulation to all current insureds. This action was based on allegations of violating surplus lines laws by failing to provide a "Proposal," issuing surplus lines policies that did not have the notice required in s. 618.41 (9), Wis. Stat., and writing mortgage guaranty insurance when s. Ins 6.17, Wis. Adm. Code, prohibits surplus lines insurers from writing mortgage guaranty insurance. November 2009

Extended Auto Warranty Corp.  
21360 Center Ridge Rd., Rocky River, OH 44116

Was ordered to pay a forfeiture of \$500.00, to provide proof of financial security for any in-force vehicle service contracts, to cease and desist from soliciting and selling auto warranties in the state of Wisconsin, and to promptly respond to all requests for information from OCI. This action was based on allegations of failing to respond promptly to inquiries from OCI and violating Wisconsin insurance laws related to unlicensed warranty business. August 2009

G.G.I.S. Ltd., LLP, and  
Michael P. McIntyre  
7929 Brookriver Dr. Ste. 777, Dallas, TX 75247  
Has had its application for an insurance license denied. This action was based

on allegations of failing to respond promptly to inquiries from OCI; failing to report administrative actions taken by the states of New York, Pennsylvania, Wisconsin, Iowa, Minnesota, and Missouri; evidence of previous lawsuits involving fraud, misappropriation, misrepresentation, or breach of fiduciary duty; and failure to accurately complete an insurance licensing application form. August 2009

Group Health Cooperative of South Central Wisconsin  
1265 John Q. Hammons Dr., Madison, WI 53717

Was ordered to pay a forfeiture of \$2,500.00. This action was based on allegations of failing to comply with a previous examination order related to financial reporting. August 2009

Gundersen Lutheran Health Plan, Inc.  
1836 South Ave., La Crosse, WI 54601  
Was ordered to pay a forfeiture of \$1,500.00. This action was based on allegations of failing to comply with a previous financial examination order. August 2009

The Hanover Insurance Company  
Ten Corporate Dr. Ste. 200, Bedford, NH 03110  
Was ordered to pay a forfeiture of \$500.00, to provide requested information within ten days, to promptly reply in writing, and to provide all information requested in response to all inquiries from the Commissioner. This action was based on allegations of failing to respond promptly to inquiries from OCI. November 2009

Health Care Service Corporation a Mutual Legal Reserve Company  
300 E. Randolph St., Chicago, IL 60601  
Was ordered to pay a forfeiture of \$500.00, to pay fees when due, and to respond promptly to all inquiries from OCI. These actions were based on allegations of failing to pay a required fee to OCI and failing to respond promptly to inquiries from OCI. July 2009

Homestead Mutual Insurance Company  
5291 Cty. Rd. II, Larsen, WI 54947  
Was ordered to pay a forfeiture of \$300.00. This action was based on allegations of failing to comply with a previous examination order. September 2009

Madison National Life Ins. Co., Inc.  
1241 John Q. Hammons Dr., Madison, WI 53707  
Was ordered to pay a forfeiture of \$3,000.00. This action was based on allegations of failing to promptly notify OCI of the formation or acquisition of a subsidiary. September 2009

Massachusetts Bay Ins. Co.  
Ten Corporate Dr. Ste. 200, Bedford, NH 03110  
Was ordered to pay a forfeiture of \$500.00, to provide requested information within ten days, to promptly reply in writing, and to provide all information requested in response to all inquiries from the Commissioner. This action was based on allegations of failing to respond promptly to inquiries from OCI. November 2009

Meemic Insurance Company  
P.O. Box 3199, Winston-Salem, NC 27102  
Was ordered to pay a forfeiture of \$500.00, to pay fees when due, and to respond promptly to inquiries from OCI. These actions were based on allegations of failing to pay a required fee to OCI and failing to respond promptly to inquiries from OCI. July 2009

MetLife Ins. Co. of Connecticut  
18210 Crane Nest Dr. 3rd Fl., Tampa, FL 33647  
Agreed to pay a forfeiture of \$3,000.00 and agreed to respond promptly to all inquires from OCI. These actions were based on allegations of failing to respond promptly to inquiries and to an order issued by OCI. September 2009

Mutual Warranty Company  
Denver Place 30<sup>th</sup> Fl., 999 18th St., Denver, CO 80202  
Was ordered to pay a forfeiture of \$500.00 and to promptly reply in writing

with all information requested in response to all inquiries from OCI. These actions were based on allegations of conducting an insurance business without proper authority. August 2009

National Surety Corporation  
777 San Marin Dr., Novato, CA 94998  
Was ordered to pay a forfeiture of \$1,000.00 and to respond completely to all inquiries from OCI. These actions were based on allegations of failing to timely and completely respond to inquiries from OCI by not providing an agent statement from one of its appointed agents. July 2009

Nationwide Assurance Company  
One W. Nationwide Blvd., Columbus, OH 43215  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order related to filing changes in its articles and bylaws. August 2009

Network Health Insurance Corporation  
1570 Midway Pl., Menasha, WI 54952  
Was ordered to pay a forfeiture of \$3,000.00. This action was based on allegations of failing to file a required financial statement by the due date. August 2009

Network Health Insurance Corporation  
1570 Midway Pl., Menasha, WI 54952  
Was ordered to pay a forfeiture of \$1,000.00. This action was based on allegations of failing to comply with a previous financial examination order. August 2009

Network Health Plan  
1570 Midway Pl., Menasha, WI 54952  
Was ordered to pay a forfeiture of \$3,000.00. This action was based on allegations of failing to file a required financial statement by the due date. August 2009

Network Health Plan  
1570 Midway Pl., Menasha, WI 54952  
Was ordered to pay a forfeiture of \$1,000.00. This action was based on

allegations of failing to comply with a previous financial examination order. August 2009

North American Elite Ins. Co.  
650 Elm St., Manchester, NH 03101  
Was ordered to pay a forfeiture of \$500.00, to pay fees when due, and to respond promptly to inquiries from OCI. These actions were based on allegations of failing to pay a required fee to OCI and failing to respond promptly to inquiries from OCI. July 2009

Pacific Indemnity Company  
15 Mountain View Rd., Warren, NJ 07059  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order. October 2009

Paris Mutual Fire Insurance Company  
3401 169th Ave., Kenosha, WI 53144  
Was ordered to pay a forfeiture of \$750.00. This action was based on allegations of failing to comply with a previous examination order related to unearned premium calculations. August 2009

Physicians Plus Insurance Corporation  
22 E. Mifflin St. Ste. 200, Madison, WI 53703  
Was ordered to pay a forfeiture of \$1,000.00. This action was based on allegations of failing to comply with a previous examination order. September 2009

State Farm Mutual Automobile Ins. Co.  
One State Farm Plaza, Bloomington, IL 61710  
Was ordered to pay a forfeiture of \$500.00, to provide requested information to OCI, and to reply promptly in writing in response to all inquiries from OCI. These actions were based on allegations of failing to respond promptly to inquiries from OCI. August 2009

United Services Automobile Assoc.  
9800 Fredericksburg Rd., San Antonio, TX 78288  
Was ordered to pay a forfeiture of \$500.00, to promptly reply in writing, and to provide all information requested in response to all inquiries from OCI. These actions were based on allegations of failing to respond promptly to inquiries from OCI. September 2009

Unity Health Plans Ins. Corporation  
840 Carolina St., Sauk City, WI 53583  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order related to conflict of interest disclosure requirements. August 2009

Vision Insurance Plan of America, Inc.  
6737 W. Washington St. Ste. 2202, West Allis, WI 53214  
Was ordered to pay a forfeiture of \$1,000.00. This action was based on allegations of failing to comply with a previous examination order related to filing of bylaws, amendments, and financial reporting. August 2009

Warranty Services Group  
2709 Water Ridge Pky. Ste. 400, Charlotte, NC 28217  
Was ordered to pay a forfeiture of \$500.00, to cease and desist from selling unlicensed warranties in the state of Wisconsin, and to respond to all inquiries from OCI. This action was based on allegations of failing to reply to an OCI inquiry and failing to obtain a limited certificate of authority to operate a warranty plan in Wisconsin. August 2009

WEA Insurance Corporation  
45 Nob Hill Rd., Madison, WI 53713  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous financial examination order. August 2009

Wisconsin American Mutual Ins. Co.  
101 Wisconsin American Dr. Ste. 300, Fond du Lac, WI 54937  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous financial examination order related to loss and loss adjustment expense reserves. August 2009

Wisc. Auto and Truck Dealers Ins. Corp.  
150 E. Gilman St. Level A, Madison, WI 53703  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order related to conflicts of interest reporting. August 2009

Wisconsin Physicians Service Ins. Corp.  
1717 W. Broadway, Madison, WI 53713  
Was ordered to pay a forfeiture of \$500.00. This action was based on allegations of failing to comply with a previous examination order. September 2009

Wisconsin Vision Service Plan, Inc.  
3333 Quality Dr., Rancho Cordova, CA 95670  
Was ordered to pay a forfeiture of \$2,500.00. This action was based on allegations of failing to comply with a previous examination order. September 2009

Zurich American Insurance Company  
1400 American Ln. Tower 1, 19th Fl., Schaumburg, IL 60196  
Was ordered to pay a forfeiture of \$500.00, to provide requested information within ten days, to promptly reply in writing, and to provide all information requested in response to all inquiries from the Commissioner. This action was based on allegations of failing to respond promptly to inquiries from OCI. November 2009