

## Administrative Actions Taken by OCI

In many of the proceedings listed below, the Respondent denied the allegations but consented to the actions. Detailed information regarding the proceedings is available from the OCI Legal Unit. Copies of all administrative actions starting in the year 1964 can be viewed and printed from OCI's Web site at <http://oci.wi.gov>. The following are actions for June 2006 through September 2006.

### Agents

**Robert G. Aikins**

1427 Washington St., Little Chute, WI 54140

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. June 2006

**Shawn Sarah Allicock**

809 Tuition Dr., Virginia Beach, VA 23462

Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI regarding bankruptcy or judgment. June 2006

**Mary K. Anderson**

2071 Trissino Way, Green Bay, WI 54313

Paid a forfeiture of \$500.00 and was ordered to file with the Commissioner prior to use any Medicare supplement advertisements or advertisements offering information concerning the federal Medicare program and to cease and desist from further violations of s. Ins 3.27, Wis. Adm. Code. This action was based on allegations of using Medicare supplement insurance advertising not in compliance with the law. June 2006

**Barry Dean Atwood**

34 Sea Winds Ln. E., Ponte Vedra Beach FL 32082

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative action taken by the state of New Jersey on an insurance license application. July 2006

**John S. Babcock**

10420 Lucaya Dr., Tampa, FL 33647

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and administrative action taken by another state. June 2006

**Darryl R. Bakos**

7155 Presidio Dr. #M, Milwaukee, WI 53223

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Thomas S. Baron**

516 S. Mills St., Madison, WI 53715

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Craig M. Barrett**

103 Weldon Way, Pennington, NJ 08534

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative action taken by the state of New Jersey on an insurance license application. July 2006

**Michael R. Bauldry**

101 S. Joseph St., Appleton, WI 54915

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Nathan M. Bebermeier**

621 Russell St., DeForest, WI 53532

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**David B. Bellrichard**

6845 S. 68th St. #207, Franklin, WI 53132

Paid a forfeiture of \$5,000.00 and was ordered to certify to OCI that any recommendation for sale or exchange of an annuity to a senior consumer during the period extending to December 31, 2007, has been subject to supervisory review to assure strict compliance with s. 628.347 (1) (c), Wis. Stat., to cease and desist from the use of any advertisement not in strict compliance with s. Ins 2.16,

Wis. Adm. Code, and has had his license suspended for 60 days. This action was based on allegations of failing on two occasions to conduct a sufficient inquiry to determine the suitability of an annuity recommendation, resulting in unsuitable sales, in violation of s. Ins 2.16 (6), Wis. Adm. Code. September 2006

**Roshenna D. Aun Berry**

2321 Montezuma Dr. #D, Florissant, MO 63031

Has had her application for an insurance license denied. This action was based on allegations of a criminal conviction substantially related to insurance marketing type conduct. September 2006

**Nicholas P. Blawat**

4730 W. Forest Home Ave., Milwaukee WI 53219

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. June 2006

**Glenda L. Bloxton**

2213 Le Havre Blvd., Orlando, FL 32808

Has had her license denied for 31 days. This action was based on allegations of failing to disclose administrative action taken by the state of Florida on an insurance license application. September 2006

**Stephanie D. Ann Bowman**

2406 Crest Park Dr., P.O. Box 200543 Arlington, TX 76006

Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI regarding administrative actions taken by the states of Texas and Louisiana against a business in which she is an officer. July 2006

**Shannon L. Brazeau**

324 Wells St. Apt. 304, Delafield, WI 53018

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Demetrius J. Brooks**

5236 N. Lovers Lane Rd. Apt. 4  
Milwaukee, WI 53225

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Michael V. Buchler**

5 Tremont Ct., Newark, DE 19713

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI, failing to disclose administrative action taken by the state of South Dakota on an insurance license application, and administrative action taken by the state of Delaware. July 2006

**Travis K. Burnett**

4207 Colonial Ct., Eau Claire, WI 54703  
Paid a forfeiture of \$1,000.00 and was ordered to cease and desist from signing documents on behalf of consumers. This action was based on allegations of signing an insurance document without the knowledge or consent of the policyholder in violation of s. 628.34 (1), Wis. Stat. June 2006

**Colleen M. Canale**

365 Lake Rd. E., Menasha, WI 54952  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Henry E. Cannon**

P.O. Box 12176, Milwaukee, WI 53212  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Ann L. Carrington**

7471 N. 89th St., Milwaukee, WI 53224  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Isaac Cephus**

6063 W. Calumet Rd., Milwaukee, WI 53223

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Dee R. Christensen**

1003 Adams St., P.O. Box 863, North  
Fond du Lac, WI 54935

Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. June 2006

**Javier Cortez**

5905 Sandalwood Dr., McKinney, TX 75070

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI regarding bankruptcy or judgment. July 2006

**Patrick J. Cunningham**

1043 W. 185th Pl., Homewood, IL 60430  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose administrative actions taken by the states of California, Pennsylvania, and Wisconsin on an insurance license application. June 2006

**Wayne F. Czpinski**

9806 Spruce Ln., P.O. Box 367, Suring  
WI 54174

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Vivian J. Degrave**

2825 Hwy. V, Franksville, WI 53126  
Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Loretha A. Dempsey**

7901 W. Glenbrook Rd. Apt. 13  
Milwaukee, WI 53223  
Has had her insurance license revoked. This action was based on allegations of failing to pay a required fee to OCI. June 2006

**Jeffrey R. Dobrunz**

2212 S. Driftwood Ln., Appleton, WI 54915

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. June 2006

**Thomas W. Ellmaker**

W57 N719 Hawthorne Ave., Cedarburg  
WI 53012

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Joann Elisabeth Favia**

249 N. Maple, Elmhurst, IL 60126

Has had her license denied for 31 days. This action was based on allegations of failing to disclose administrative actions taken by the states of Illinois and Wisconsin on an insurance license application. August 2006

**Merrill J. Fischbein**

1655 Westwood Hills Dr., St. Louis Park  
MN 55426

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative actions taken by the states of Wisconsin, Kansas, and Minnesota on an insurance license application. September 2006

**Morton H. Franklin**

5315 Fair Ridge Dr., San Antonio, TX 78228

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative action taken by the state of Ohio on an insurance license application. September 2006

**Rhonda L. Funmaker**

916 Hwy. 21 E., Friendship, WI 53934  
Has had her insurance license revoked. This action was based on failing to pay Wisconsin delinquent taxes due. September 2006

**David Paul Giegerich**

2 Mary St., Warren, NJ 07059  
Has had his insurance license revoked. This action was based on allegations of failing to pay a required fee to OCI. June 2006

**Nelson E. Gilroy**

1011 Shelter Ln., Lansing, MI 48912  
Has had his license denied for 31 days. This action was based on allegations of

failing to disclose administrative actions taken by the state of Michigan on an insurance license application. September 2006

**Montell D. Glover**

4102 N. 39<sup>th</sup> St., Lower Unit, Milwaukee WI 53216

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**James J. Hamer**

7838 S. Loomis Rd., Wind Lake, WI 53185

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Jonisha M. Hendon**

2702 W. Villard Ave., Milwaukee, WI 53209

Has had her application for an insurance license denied. This action was based on allegations of criminal convictions substantially related to insurance marketing type conduct and traffic and ordinance violations. September 2006

**Mercer Hicks III**

One Turnberry Wood, Pinehurst, NC 28374-8516

Was ordered to cease and desist from engaging in the business of insurance in any manner in the state of Wisconsin until and unless properly licensed to do so. This action was based on allegations of attempting to solicit the sale of an annuity to a Wisconsin resident while not licensed, in violation of Wisconsin insurance laws. July 2006

**Glennie V. Hill**

2880 N. Menomonee River Pky. Milwaukee, WI 53222

Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Carvin J. Hilliard**

9 Raymond St. 2nd Fl., Norwalk, CT 06854

Has had his application for an insurance license denied. This action was based on allegations of failing to disclose administrative action taken by the state

of South Carolina on an insurance license application. July 2006

**Shelia Lynn Holmes**

1310 Merchants Tale Ln., Austin, TX 78748

Has had her application for an insurance license denied. This action was based on allegations of failing to report administrative action taken by the states of Alabama, Indiana, Kansas, and South Dakota on an insurance license application and the substance of administrative actions taken by another state. July 2006

**Eugene R. Hooper**

W7061 Hwy. A, Lake Mills, WI 53551

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Robert Jacaman**

4900 E. Oltorf #1031 Austin, TX 78741

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative action taken by the state of Georgia on an insurance license application. July 2006

**Leon R. Jarvis**

18375 Taywood Cir. #102, Brookfield WI 53045

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Casey K. Johnson**

5237 E. County Rd. J, Clinton, WI 53525

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI relating to missing examination documents for resident application. July 2006

**Karina Patricia Jones**

110 El Nido Ave. #69, Pasadena, CA 91107

Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose previous Wisconsin administrative action on an insurance license application. August 2006

**Robert W. Keefe**

142 E. Washington St., West Bend, WI 53095

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Jeffrey L. Kothe**

6242 Adobe Way, Madison, WI 53719

Was ordered to pay a forfeiture of \$15,000.00 and has had his insurance license revoked. This action was based on allegations of failing to comply with home solicitation disclosure requirements, engaging in misleading and deceptive sales practices, failing to make necessary inquiries to determine suitability and making recommendations of the purchase of annuities that were unsuitable, not providing a copy of required documents to customers, not retaining required records, and utilizing business methods that endanger the legitimate interest of customers and the public. June 2006

**Tami L. Kraft**

W5596 County Rd. P, Tony, WI 54563

Has had her license summarily suspended. This action was based on allegations of violating Wisconsin insurance laws and making false representations to consumers, the insurer, and OCI regarding the sale of life Insurance policies. August 2006

**Michael B. Kreiman**

1024 Bayview Rd., Neenah, WI 54956

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Myra A. Langkau**

N64 W15384 Sunny Dale Dr. Menomonee Falls, WI 53051

Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Michael J. Larson**

4722 Hermsmeier Ln., Madison, WI 53714

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Jean H. Lawton**

1606 Fordem Ave. #307, Madison, WI 53704

Has had her insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**James K. Layne**

N2506 County Rd. V, Lodi, WI 53555  
Paid a forfeiture of \$34,000.00 and was ordered to make additional restitution to the Estate of Jeanette Swatos in the amount of \$311,838.22, and to sell annuities to individuals over the age of 65 only under the supervision of a qualified person who agrees to review the suitability of each transaction until May 15, 2009. This action was based on allegations of making false and misleading communications to a consumer and to OCI regarding the sale of insurance products to a senior citizen. June 2006

**Jason Nathan Leigh**

3611 Carson, Amarillo, TX 79109

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction substantially related to insurance marketing type conduct. July 2006

**James A. Levine**

133 The Chase, Syosset, NY 11791

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative actions taken by the state of New Jersey on an insurance license application. September 2006

**Nicole L. Madison**

N1322 Robin Dr., Stetsonville, WI 54480  
Has had her application for an insurance license denied. This action was based on allegations of a criminal conviction substantially related to insurance marketing type conduct. August 2006

**Mark John Matsock**

8826 N. 47th Pl., Phoenix, AZ 85028  
Agreed to pay a forfeiture of \$1,000.00 and agreed to report all "formal administrative actions" as required in s. Ins 6.61, Wis. Adm. Code, and agreed to

a suspension of his license for 180 days. This action was based on allegations of failing to disclose administrative action taken by the state of North Carolina on an insurance license application. July 2006

**Michael D. Minnoch**

3276 N. 500 E., North Ogden, UT 84414  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Eric J. Minor**

3133 Hidden Pl. Apt 5, Eau Claire, WI 54701

Has had his application for an insurance license denied. This action was based on allegations of a criminal conviction substantially related to insurance marketing type conduct and multiple traffic offenses. September 2006

**Timothy Montgomery**

2205 W. Lancaster Ave., Milwaukee, WI 53209

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Robert Frank Moore**

7339 Grassy Trl., San Antonio, TX 78244  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction substantially related to insurance marketing type conduct. July 2006

**Scott A. Olson**

10160 Settler Way, Oak Creek, WI 53154  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Nilda Noemi Ortiz**

129 Claret Ct., Orlando, FL 32807  
Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI relating to judgment or bankruptcy. August 2006

**Lloyde Palmer**

2906 N. 44th St., Milwaukee, WI 53210  
Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Victor Gabriel Paz**

1100 Arno Dr., Sierra Madre, CA 91024  
Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to disclose administrative actions taken by the state of California on an insurance license application. July 2006

**Jay R. Perry**

N4130 Sleepy Hollow Rd., Cambridge WI 53523

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Carl S. Peterson**

Box 159, Eleva, WI 54738

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Timothy J. Petrie**

N809 Irish Rd., New Holstein, WI 53061  
Was ordered to pay a forfeiture of \$15,000.00, was ordered to pay restitution to complainant and pay any surrender fee or other penalties regarding the policies in question for two complainants if they surrender the policy within six months of the date of the final decision, and has had his insurance license revoked. This action was based on allegations of failing to properly consider suitability in selling annuities to senior citizens; making false and misleading statements regarding the insurance business to the insurer, consumers, and the Office of the Commissioner of Insurance; and offering insurance benefits that were not specified in the policy language in order to induce consumers into purchasing insurance. September 2006

**Shawn C. Polzin**

1510 Crystal Lake Cir. #7, Green Bay WI 54311

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Patrick L. Schenck**

2218 Blackbridge Rd., Janesville, WI 53545

Has had his license denied for 31 days. This action was based on allegations of failing to disclose previous criminal conviction for obtaining telecommunication service by fraud on an insurance license application. June 2006

**Gerald L. Schienebeck**

2707 Lavine Ln., Waukesha, WI 53189

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Steven A. Schultz**

137 Riverview Dr., Waterford, WI 53185

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Francis Courtney Skrenes**

811 Rice St., Horicon, WI 53032

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. August 2006

**Therese M. Slicker**

508 Fifth Ave., Stevens Point, WI 54481

Paid a forfeiture of \$500.00 and was ordered to file with the Commissioner prior to use any Medicare supplement advertisements or advertisements offering information concerning the federal Medicare program and to cease and desist from further violations of s. Ins 3.27, Wis. Adm. Code. This action was based on allegations of using Medicare supplement insurance advertising not in compliance with the law. June 2006

**Tracie C. Templin**

W5244 Bechaud Beach Dr., Fond du Lac WI 54935

Agreed to be monitored by two individuals for a period of two years. This action was based on allegations of a criminal conviction substantially related to insurance marketing type conduct. July 2006

**Leonard M. Thomas**

4463 N. 72nd St., Milwaukee, WI 53218

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Cesar Valadez**

926 E. Chicago St., Whitewater, WI 53190

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction substantially related to insurance marketing type conduct. July 2006

**Leroy P. Vandebusch**

3610 Delahaut St., Green Bay, WI 54301

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Paul A. Vanderschuren**

574 S. Segoe Rd., Madison, WI 53711

Was ordered to cease and desist from enrolling Medicare beneficiaries in Medicare Advantage plans without fully explaining the differences between existing original Medicare and Medicare Advantage. This action was based on allegations of failing to properly consider suitability in selling health insurance and misrepresenting coverage of Medicare Advantage. August 2006

**Troy J. Verburgt**

413 Sixth Ave. Apt. 204, New Glarus, WI 53574

Has had his application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI, a criminal conviction substantially related to insurance marketing type conduct, and failing to pay past child support due. July 2006

**Carla Teresa Vigil**

6516 Plunkett St., Hollywood, FL 33023

Has had her application for an insurance license denied. This action was based on allegations of failing to respond promptly to inquiries from OCI and a criminal conviction substantially related to insurance marketing type conduct. June 2006

**Barry R. Wallihan**

1823 26th St. N., Wisconsin Rapids, WI 54494

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Obbie Ward**

4032 N. 17th St., Milwaukee, WI 53209

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Charles W. Warnke**

W10689 Givens Rd., New London, WI 54961

Has had his insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. September 2006

**Patrick Nolan Wedeking**

7019 Autumn Ave. SE, Snoqualmie, WA 98065

Has had his license denied for 31 days. This action was based on allegations of failing to disclose administrative action taken by the state of Oregon on an insurance license application. August 2006

**Joseph W. Weis**

395 Amanda St., Burlington, WI 53105

Agreed to pay a forfeiture of \$1,000.00, agreed to sell insurance products only subject to supervision by a designated supervisor who reviews each transaction, and to take a continuing education course within six months dealing with Wisconsin insurance laws and marketing to senior citizens. This action was based on allegations of failure to properly consider suitability when recommending that a senior consumer purchase a life insurance policy; making false and misleading statements regarding the insurance business to consumers, the insurer, and the Office of the Commissioner of Insurance; and failure to make the proper disclosures to the consumer at the time of initial contact. September 2006

**Aaron P. Westfahl**

2443 S. 69th St., Milwaukee, WI 53219

Has had his application for an insurance license denied. This action was based on allegations of a criminal conviction

substantially related to insurance marketing type conduct. July 2006

## Companies

### **American Guardian Warranty Services, Inc.**

800 Roosevelt Rd., Glen Ellyn, IL 60137  
Paid a forfeiture of \$3,500.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. August 2006

### **Aon Home Warranty Services, Inc.**

1000 N. Milwaukee Ave., Glenview, IL 60025  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. August 2006

### **Aon Innovative Solutions, Inc.,**

1000 N. Milwaukee Ave., Glenview, IL 60025  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. August 2006

### **Automotive Warranty Services of Florida, Inc.**

1000 N. Milwaukee Ave., Glenview, IL 60025  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005.

This action was based on allegations of failing to file a required financial statement by the due date. August 2006

### **Balboa Warranty Services Corporation**

3349 Michelson Dr. Ste. 200, Irvine, CA 92612  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. July 2006

### **Bankers Life and Casualty Company**

222 Merchandise Mart Plaza, Chicago IL 60654  
Paid a forfeiture of \$500.00 and was ordered to cease and desist from further violation of s. Ins 3.27, Wis. Adm. Code. This action was based on allegations of permitting the use of Medicare supplement insurance advertising not in compliance with the law. July 2006

### **Cambridge Integrated Services Group, Inc.**

1501 Luna Rd. #124, Carrollton, TX 75006  
Paid a forfeiture of \$500.00 and was ordered to respond promptly to all future requests for information from OCI. This action was based on allegations of failing to respond promptly to inquiries from OCI. September 2006

### **Caterpillar Product Services Corporation**

2120 West End Ave., Nashville, TN 37203  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. July 2006

### **The Christian Broadcasting Network, Inc.**

977 Centerville Turnpike, c/o Planned Giving Dept. CSB 112, Virginia Beach VA 23463

Paid a forfeiture of \$1,000.00. This action was based on allegations of failing to respond promptly to inquiries from OCI and failing to file a required financial statement by the due date. July 2006

### **Consumer Program Administrators, Inc.**

1000 N. Milwaukee Ave., Glenview, IL 60025  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. August 2006

### **Dimension Services Corporation**

400 Metroplace N. Ste. 300, Dublin, OH 43017  
Paid a forfeiture of \$5,500.00 and was ordered to cease and desist from acting as a warranty plan administrator unless and until it has obtained authority to do so. This action was based on allegations of doing an insurance business without proper authority. September 2006

### **Enterprise Financial Group, Inc.**

122 W. John Carpenter Fwy. 6th Fl. Irving, TX 75039  
Paid a forfeiture of \$500.00 and was ordered to provide the information requested in the Commissioner's written inquiry. This action was based on allegations of failing to respond promptly to inquiries from OCI and using unapproved policy forms. June 2006

### **Enterprise Financial Group**

122 W. John Carpenter Fwy. 6th Fl. Irving, TX 75039  
Agreed to pay a forfeiture of \$25,000.00 and agreed to comply with the agreements made in the stipulation. This action was based on allegations of failing to file required financial statements and other information by the due dates. September 2006

### **Erie Insurance Exchange**

100 Erie Insurance Pl., Erie, PA 16530  
Paid a forfeiture of \$1,500.00 and was ordered to cease and desist violating s. Ins 21.01 (4), Wis. Adm. Code, and s. 631.36 (2), Wis. Stat., by terminating policies midterm for a substantial change in risk when the risk existed prior to the latest renewal. This action was based on allegations of issuing an improper midterm cancellation of an insurance policy. July 2006

**First Health Life & Health Insurance Company**

3200 Highland Ave., Downers Grove, IL 60515

Paid a forfeiture of \$500.00 and was ordered to provide the information requested within ten days of the receipt of this order and to reply promptly in writing providing all information requested in response to inquiries from the Commissioner requesting a reply. This action was based on allegations of failing to respond promptly to inquiries from OCI. August 2006

**GGIS Ltd. LLP**

5950 Berkshire Ln. #400, Dallas, TX 75225

Has had its insurance license revoked. This action was based on allegations of failing to pay Wisconsin delinquent taxes due. June 2006

**Hawkeye Security Insurance Company**

1245 Jordan Creek Pky., West Des Moines, IA 50266

Paid a forfeiture of \$1,500.00. This action was based on allegations of failing to comply with a previous examination order. June 2006

**Hewitt Associates LLC**

100 Half Day Rd., Lincolnshire, IL 60069

Paid a forfeiture of \$500.00 and was ordered to provide the information requested and promptly reply in writing and provide all information requested in response to all inquiries from the Commissioner. This action was based on allegations of failing to respond promptly to inquiries from OCI. August 2006

**National Product Care Company**

1000 N. Milwaukee Ave., Glenview, IL 60025

Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. August 2006

**National Protection Plan, Inc.**

22 NE 22nd Ave., Pompano Beach, FL 33062

Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principals and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. June 2006

**National Reply Center**

P.O. Box 1638, Meridian, MS 39302-9905

Was ordered to cease and desist from sending direct mail advertisements to Medicare beneficiaries in Wisconsin until the advertisement is filed with the Commissioner according to law, and to reply promptly and provide all information requested to inquiries from the Commissioner. This action was based on allegations of using Medicare supplement insurance advertising not in compliance with the law. June 2006

**Restorx Northern Illinois**

4497 S. Park Rd., Freeport, IA 61032

Was ordered to affirm the disapproval of four courses as dealing mainly with the topics of repair procedures and cleaning techniques which are specifically listed as subjects that do not qualify for approval. This action was based on allegations of continuing education courses approval denied because they dealt with repair procedures and cleaning techniques. June 2006

**Service Saver, Inc.**

1000 N. Milwaukee Ave., Glenview, IL 60025

Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial

statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. August 2006

**ServicePlan of Florida, Inc.**

1000 N. Milwaukee Ave., Glenview, IL 60025

Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principles and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. August 2006

**Ultimate Warranty of WI, Inc.**

21360 Center Ridge Rd., Rocky River OH 44116

Agreed to pay a forfeiture of \$25,000.00 and agreed to file required audited statements and make required security deposits by March 31 of each year. This action was based on allegations of failing to respond promptly to inquiries from OCI. August 2006

**United States Warranty E.S.P. Corporation**

2760 Som Center Rd., Willoughby Hills OH 44094

Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principals and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. June 2006

**UnitedHealthcare of Wisconsin, Inc.**

10701 W. Research Dr., Wauwatosa, WI 53226

Agreed to pay a forfeiture of \$600,000.00 and agreed to implement a compliance plan that will ensure each customer receives service that meets or exceeds provisions required under Wisconsin insurance regulations. Please see the

separate press release at <http://oci.wi.gov/pressrel/0706uhc.htm>. July 2006

**Wisconsin Physicians Service Insurance Corporation**

1717 W. Broadway, Madison, WI 53713  
Paid a forfeiture of \$1,000.00 and was ordered to comply with market conduct examination compliance orders. This action was based on allegations of failing to comply with a previous market conduct examination order. September 2006.

**W.G. & R. Furniture Company**

900 Challenger Dr., Green Bay, WI 54311  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principals and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. June 2006

**Wynn's Extended Care, Inc.**

6303 Blue Lagoon Dr. Ste. 225, Miami FL 33126  
Paid a forfeiture of \$1,000.00 and was ordered to immediately file a financial statement prepared on an accrual basis in accordance with generally accepted accounting principals and audited by an independent certified accountant for the calendar year ending December 31, 2005. This action was based on allegations of failing to file a required financial statement by the due date. June 2006